# Potential Hasse principle violations for Châtelet surfaces

#### Sam Roven

University of Washington

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Sam Roven (University of Washington) Potential Hasse principle violations for Châtelet surfaces

#### Let X be a smooth projective geometrically integral variety over $\mathbb{Q}$ .

Question

Is 
$$X(\mathbb{Q}) = \emptyset$$
?

Definition (Adélic points)

$$X(\mathbb{A}_{\mathbb{Q}}) := X(\mathbb{R}) imes \prod_{\rho} X(\mathbb{Q}_{\rho})$$

Since  $X(\mathbb{Q}) \subset X(\mathbb{A}_{\mathbb{Q}})$ , if  $X(\mathbb{A}_{\mathbb{Q}}) = \emptyset$  then  $X(\mathbb{Q}) = \emptyset$ .

#### Question

Do there exist varieties where  $X(\mathbb{A}_{\mathbb{Q}}) \neq \emptyset$  but  $X(\mathbb{Q}) = \emptyset$ ?

YES! Such varieties are said to fail the Hasse principle (HP).

Consider the equation  $y^2 - az^2 = P(\lambda)$  where  $a \notin \mathbb{Q}^{\times 2}$  and  $P \in \mathbb{Q}[\lambda]$  is separable of degree 4.

1) 
$$y^2 - az^2 = (\lambda - 3)(\lambda^3 + 17\lambda - 3)$$
;  $(y, z, \lambda) = (0, 0, 3)$   
2)  $y^2 - az^2 = (\lambda - 57)(\lambda + 163)(\lambda^2 - 13)$ ;  $(y, z, \lambda) = (0, 0, -163)$   
3)  $y^2 - az^2 = (3 - \lambda^2)(\lambda^2 - 2)$ ;  $(y, z, \lambda) = ??$   
4)  $y^2 - az^2 = 5\lambda^4 - 17\lambda^2 + 2\lambda - 15$ ;  $(y, z, \lambda) = ???$ 

Upshot: The only factorization of P that could produce an equation which may fail HP is 3).

## How could one show that X fails the Hasse principle?

 $X(\mathbb{A}_{\mathbb{Q}})$  is computable,  $X(\mathbb{Q})$  is not in general.

#### Idea

Find some computable set T such that

$$X(\mathbb{Q})\subseteq T\subseteq X(\mathbb{A}_{\mathbb{Q}})$$

and show that  $T = \emptyset$ .

Using the Brauer group of X, Manin (1971) defined the Brauer-Manin set:

$$X(\mathbb{Q}) \subseteq X(\mathbb{A}_{\mathbb{Q}})^{\mathsf{Br}} \subseteq X(\mathbb{A}_{\mathbb{Q}}).$$

Thus, the Brauer-Manin set can obstruct the existence of rational points.

- 1) Brauer groups
- 2) Brauer-Manin set
- 3) A useful isomorphism
- 4) Châtelet Surfaces
- 5) Main theorem
- 6) An example!
- 7) Open questions

#### Definition

Let k be a field. A central simple k-algebra (CSA/k) A is

- a finite-dimensional k-algebra
- center is k
- has no non-trivial proper two-sided ideals

#### Brauer equivalence

Let  $\mathcal{A}, \mathcal{A}'$  be two central simple *k*-algebras.  $\mathcal{A}$  and  $\mathcal{A}'$  are **Brauer** equivalent if

$$\mathcal{A} \otimes_k \mathsf{M}_n(k) \cong \mathcal{A}' \otimes_k \mathsf{M}_m(k)$$

for some  $n, m \in \mathbb{Z}_{>0}$ .

## The Brauer group of a field

We then define the Brauer group of k

$$Br k := \frac{\{CSA/k\}}{Brauer equivalence}$$

#### Examples

1) If k is algebraically closed, then Br k = 0.

2) Let  $a, b \in k^{\times}$ . A (generalized) quaternion algebra, (a, b), is the *k*-vector space with basis  $\{1, i, j, ij\}$  where  $i^2 = a, j^2 = b$  and ji = -ij.

3) Br  $\mathbb{R} \cong \mathbb{Z}/2\mathbb{Z}$  with unique non-trivial element represented by the Hamiltonian quaternions (-1, -1).

Let X be a *nice* (smooth projective geometrically integral) variety over number field k.

Definition

We define the Brauer group of X to be

 $\operatorname{Br} X := \operatorname{H}^{2}_{\acute{e}t}(X, \mathbb{G}_{m})$ 

This agrees with the definition of Br k in that Br(Spec k) = Br k.

We define  $X(\mathbb{A}_k)^{Br}$  using the Brauer group of X.

Let k be a number field,  $\Omega_k$  the set of places of k, and  $k_v$  the completion of k at  $v \in \Omega_k$ .

- Given P ∈ X(k) the map P: Spec k → X induces a map on the Brauer group P\*: Br X → Br k.
- Fix A ∈ Br X and define ev<sub>A</sub> : X(k) → Br k to be the image of A under P\*.

For  $\mathcal{A} \in \operatorname{Br} X$ , we obtain a commuting diagram

$$egin{aligned} &\prod_{v\in\Omega_{v}}X(k_{v}):=X(\mathbb{A}_{k})\ &\downarrow^{\operatorname{ev}_{\mathcal{A}}}\ &\prod_{v\in\Omega_{k}}\operatorname{Br}k_{v} \end{aligned}$$

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$$X(\mathbb{A}_k) \ igcup_{\mathsf{ev}_{\mathcal{A}}} \ \oplus_{\mathsf{v}\in\Omega_k} \operatorname{\mathsf{Br}} k_{\mathsf{v}}$$

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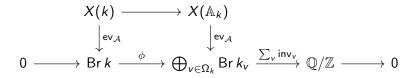
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This picture shows that  $X(k) \subset X(\mathbb{A}_k)^{\mathcal{A}}$  where

$$X(\mathbb{A}_k)^{\mathcal{A}} = \left\{ (P_v) \in X(\mathbb{A}_k) \colon \sum_{v \in \Omega_k} \mathsf{inv}_v(\mathsf{ev}_{\mathcal{A}}(P_v)) = 0 \right\}$$

We call

$$X(\mathbb{A}_k)^{\mathsf{Br}} = igcap_{\mathcal{A}\in\mathsf{Br}\,X} X(\mathbb{A}_k)^{\mathcal{A}}$$

the Brauer-Manin set.

#### Proposition (Manin 1971)

$$X(k) \subseteq X(\mathbb{A}_k)^{\mathsf{Br}} \subseteq X(\mathbb{A}_k)$$

If  $X(\mathbb{A}_k) \neq \emptyset$  and  $X(\mathbb{A}_k)^{Br} = \emptyset$  we say there is a **Brauer-Manin** obstruction to the Hasse principle.

#### Question

How does one effectively compute  $X(\mathbb{A}_k)^{\text{Br}}$ ? In other words, how do I find the "most relevant"  $\mathcal{A} \in \text{Br } X$  to show that  $X(\mathbb{A}_k)^{\mathcal{A}} = \emptyset$ , if it exists?

#### Proposition

To compute  $X(\mathbb{A}_k)^{Br}$  it is enough to compute the intersection over a set of representatives of  $\frac{Br X}{Br k}$ .

## A useful isomorphism

- X/k a nice geometrically rational variety.
- $\overline{k}$  be a fixed algebraic closure of k.

$$\overline{X} := X \times_{\operatorname{Spec} k} \operatorname{Spec} \overline{k}$$

• 
$$\operatorname{Br}_0 X := \operatorname{im}(\operatorname{Br} k \to \operatorname{Br} X).$$

The Hochschild-Serre spectral sequence in étale cohomology gives the isomorphism

$$\frac{\operatorname{Br} X}{\operatorname{Br}_0 X} \cong \operatorname{H}^1(\operatorname{Gal}(\overline{k}/k),\operatorname{Pic} \overline{X})$$

#### Lemma

1) If  $X(\mathbb{A}_k) \neq \emptyset$  then the map Br  $k \to$  Br X is injective, hence Br<sub>0</sub> X = Br k

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Let  $P(\lambda) \in k[\lambda]$  be a separable polynomial of degree 4 and let  $a \in k^{\times}$ .

$$X_1 := \operatorname{\mathsf{Proj}}_{\frac{k[\lambda][y,z,t]}{(y^2 - az^2 - P(\lambda)t^2)}} \hookrightarrow \mathbb{P}^2_{\mathbb{A}^1_k} \hookrightarrow X_2 := \operatorname{\mathsf{Proj}}_{\frac{k[\mu][Y,Z,T]}{(Y^2 - aZ^2 - Q(\mu)T^2)}}$$

with coordinates  $(y : z : t, \lambda)$  and  $(Y : Z : T, \mu)$  respectively and  $Q(\mu) = \mu^4 P(\frac{1}{\mu})$ .

Let X be the surface obtained by gluing  $X_1$  and  $X_2$  via the isomorphism

$$egin{aligned} X_1 - \{\lambda = 0\} &\xrightarrow{\sim} X_2 - \{\mu = 0\} \ (y: z: t, \lambda) &\mapsto (Y: Z: \mu^2 T, 1/\mu) \end{aligned}$$

#### Definition

We call X the Châtelet surface given by  $y^2 - az^2 = P(\lambda)$ .

It is smooth, projective, geometrically integral and...

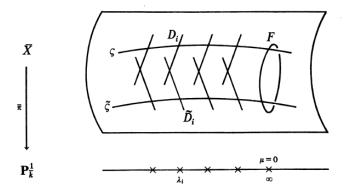
#### Proposition

X is geometrically rational

Upon base changing to  $\overline{k}$  we obtain a very nice picture. Let

$$P(\lambda) = c \prod_{i=1}^{4} (\lambda - \lambda_i)$$

$$y^2 - az^2 = P(\lambda)$$



[Colliot-Thélène, Sansuc, Swinnerton-Dyer, 1987]

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#### Goal

Find a Châtelet surface  $X/\mathbb{Q}$  that fails the Hasse principle.

• 
$$X(\mathbb{A}_{\mathbb{Q}})^{\mathcal{A}} = \Big\{ (P_{\nu}) \in X(\mathbb{A}_{\mathbb{Q}}) \colon \sum_{\nu \in \Omega_{\mathbb{Q}}} \operatorname{inv}_{\nu}(\operatorname{ev}_{\mathcal{A}}(P_{\nu})) = 0 \Big\}.$$

• 
$$X(\mathbb{A}_k)^{\mathsf{Br}} = \bigcap_{\mathsf{generators } \mathcal{A} \mathsf{ of } \frac{\mathsf{Br} X}{\mathsf{Br} k}} X(\mathbb{A}_k)^{\mathcal{A}}$$

•  $\frac{\operatorname{Br} X}{\operatorname{Br} k} \cong \operatorname{H}^1(\operatorname{Gal}(\overline{k}/k), \operatorname{Pic} \overline{X})$  (and this depends on the factorization of  $P(\lambda)$ ).

#### Question

If 
$$X(\mathbb{A}_k)^{\mathsf{Br}} \neq \emptyset$$
 could X still violate HP?

#### Theorem (Colliot-Thélène, Sansuc, Swinnerton-Dyer, 1987)

Let k be a number field and X/k a Châtelet surface. X fails the Hasse principle if and only if there is a Brauer-Manin obstruction.

#### Theorem

Let X be the Châtelet surface given by  $y^2 - az^2 = P(\lambda)$ . Br X depends on the factorization of P and is given by

$$H^{1}(\operatorname{Gal}(\overline{k}/k), \operatorname{Pic}(\overline{X})) = \begin{cases} \left(\mathbb{Z}/2\mathbb{Z}\right)^{2} & P \text{ has four rational roots} \\ \mathbb{Z}/2\mathbb{Z} & P \text{ has an irred. deg. 2 factor} \\ \{0\} & otherwise \end{cases}$$

## An Example

### Let $X/\mathbb{Q}$ be the Châtelet surface given by

$$y^2 + z^2 = (\lambda^2 - 2)(3 - \lambda^2)$$

#### Theorem (Iskovskikh 1971)

X fails the Hasse principle

#### Sketch

Show: 1)  $X(\mathbb{A}_{\mathbb{Q}}) \neq \emptyset$ 

2) 
$$\alpha = (-1, 3 - \lambda^2) \in \mathsf{Br}\, \mathbf{k}(X)$$

3)  $X(\mathbb{A}_{\mathbb{Q}})^{\alpha} = \emptyset$ 

## How do I compute $X(\mathbb{A}_{\mathbb{Q}})^{\alpha}$ ?

$$X(\mathbb{A}_{\mathbb{Q}})^{lpha} = \left\{ P \in X(\mathbb{A}_{\mathbb{Q}}) : \sum_{\nu \in \Omega_{\mathbb{Q}}} \mathsf{inv}_{
u}(\mathsf{ev}_{lpha}(P)) = 0 
ight\}$$

Compute  $inv_{\nu}(ev_{\alpha}(P))$  for each  $\nu \in \Omega_{\mathbb{Q}}$ .

#### Lemma

Let k be a local field and X/k a smooth variety. Let  $U \subset X$  be a nonempty Zariski open set of X. Then U(k) is analytically dense in X(k).

#### Lemma

 $\operatorname{inv}_{v} \circ \operatorname{ev}_{\alpha}$  is a continuous function on  $X(\mathbb{Q}_{p})$ 

#### Corollary

Let  $X_0$  be the affine surface given by  $y^2 + z^2 = (\lambda^2 - 2)(3 - \lambda^2)$ . To compute  $X(\mathbb{A}_{\mathbb{Q}})^{\alpha}$ , it is enough to evaluate at points  $P \in X_0(\mathbb{Q}_p)$ .

#### Proposition

Fix a place  $v_p$  of  $\mathbb{Q}$ . Then for any  $P \in X_0(\mathbb{Q}_p)$ ,

$$\operatorname{inv}_{v_p}(\operatorname{ev}_{\alpha}(P)) = \begin{cases} 0 & p \neq 2\\ \frac{1}{2} & p = 2 \end{cases}$$

#### Corollary

$$X(\mathbb{A}_{\mathbb{Q}})^{lpha} = \emptyset$$

X fails the Hasse principle.

#### Question

What can one say about nice varieties  $X/\mathbb{Q}$  with  $X(\mathbb{A}_{\mathbb{Q}}) = \emptyset$ ? Do they fail HP over some extension of  $\mathbb{Q}$ ?

#### Definition

Given a nice variety  $X/\mathbb{Q}$  such that  $X(\mathbb{A}_{\mathbb{Q}}) = \emptyset$  we say X is a **potential Hasse principle** (PHP) **violation** if there exists an extension  $L/\mathbb{Q}$  such that  $X(L) = \emptyset$  and  $X(\mathbb{A}_L) \neq \emptyset$ 

#### Conjecture (Clark 2011)

## Let k be a global field. Every curve C/k of genus $\geq 2$ with $X(k) = \emptyset$ is a PHP violation

#### Question

Is every Châtelet surface  $X/\mathbb{Q}$  with  $X(\mathbb{A}_{\mathbb{Q}}) = \emptyset$  a PHP violation.

## Open questions

#### Theorem (Creutz-Viray, 2020)

Let X/k be a Châtelet surface.

- $\exists$  finite set of places  $S \subseteq \Omega_k$
- A set of local quadratic extensions  $L_v/k_v$  for all  $v \in S$  such that
- F/k is a quadratic extension with  $F_v = L_v$  for all  $v \in S$

$$X(\mathbb{A}_F)^{\mathsf{Br}} \neq \emptyset$$

#### Future Goals

Can one characterize the Châtelet surfaces that are PHP violations?

#### THANK YOU!